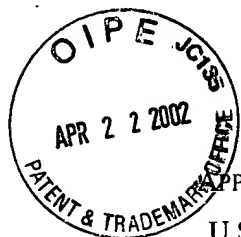


Express Mail Label No.: EV058165065US  
Date of Deposit: April 22, 2002

Attorney Docket No: 19141-543 NATL



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Tao, *et al.*

Art Unit: 1645

U.S. SERIAL

NUMBER: 09/830,524

INTERNATIONAL

FILING DATE: October 21, 1999

FOR: CELL SURFACE MOLECULE-INDUCED MACROPHAGE ACTIVATION



30623

PATENT TRADEMARK OFFICE

Office of Initial Patent Examination's  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
MAY 08 2002  
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

- Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
- There are errors with respect to the following data, which are:  
☒ incorrectly entered

| <i>Error in</i> |   | <i>Correct data</i>   |
|-----------------|---|---|
| 1.              | <input checked="" type="checkbox"/> Applicant's name    | E. Edward Baetge  |
| 2.              | <input checked="" type="checkbox"/> Applicants' address | Weng Tao, Lincoln, Rhode Island<br>Shou Wong, Verona, Wisconsin |
| 3.              | <input type="checkbox"/> Title                          |   |
| 4.              | <input type="checkbox"/> Filing Date                    |   |
| 5.              | <input type="checkbox"/> Serial Number                  |   |
| 6.              | <input type="checkbox"/> Other:                         |   |

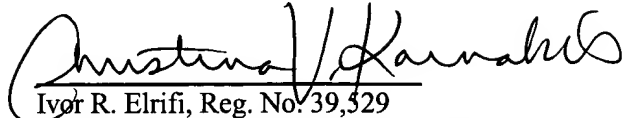
- ☒ Although Applicant believes that no fee is due in connection with this filing, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 19141-543 NATL.
- Other documents:

Applicants: Tao  
U.S.S.N. 09/830,524



5. ☒ Postcard

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

RECEIVED  
MAY 09 2002  
TECH CENTER 1600/2900

TRA 1659118v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/830,524         | 10/12/2001  | JCI1645      | 1075          | 10111645        | 5        | 30         | 12         |

Ivor R Elrifi  
Mintz Levin Cohn Ferris Glovsky & Popeo  
One Financial Center  
Boston, MA 02111

RECEIVED

APR 16 2002

MINTZ LEVIN, BOSTON  
PATENT DOCKET DEPT.

FILING RECEIPT



OC00000007763686\*

CONFIRMATION NO. 9720

Date Mailed: 04/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Weng Tao, ~~Lincoln, RI~~; *LINCOLN, RI*  
Shou Wong, ~~Verona, ITALY~~; *VERONA, WI*  
William F. Hickey, Lyme, NH;  
Joseph P. Hammang, Barrington, RI;  
~~Edward E. Baetge, Sulpice, SWITZERLAND;~~ *E. Edward Baetge*

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/24630 10/21/1999

## Foreign Applications

UNITED STATES OF AMERICA 09178869 10/26/1998

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

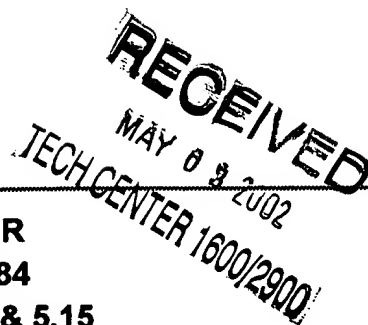
## Title

Cell surface molecule-induced macrophage activation

|  |            |
|--|------------|
| <input checked="" type="checkbox"/> Data Entry | Done By    |
| <input type="checkbox"/> Docket Entry          | <i>lar</i> |
| <input type="checkbox"/> Docket Cross Off      |            |
| <input type="checkbox"/> Previously Entered    |            |
| <input type="checkbox"/> No Docketing Req      |            |
| <input type="checkbox"/> ELITE                 |            |
| <input type="checkbox"/> Annuitias             |            |

RECEIVED  
MAY 03 2002  
TECH CENTER 1600/2900

Preliminary Class  
435



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).